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Arizona Corporation Commission

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BEFORE THE ARIZONA CORPORATION COMMISSION

In the matter of,

TRACY N. WENGERT-CRD #3182678, and
JEANETTE WENGERT, husband and wife,

Respondents.

DOCKET NO. S-20973A-16-0199

**RESPONDENTS' MOTION TO
CONTINUE THE ADMINISTRATIVE
HEARING**

Current Commencement Date:
January 9, 2017 at 10:00 a.m

Respondents, Tracy N. Wengert and Jeanette Wengert moves to continue the administrative hearing set to commence on January 9, 2017 at 10:00 a.m. The Securities Division ("Division") of the Arizona Corporation Commission ("Commission") has no objection to the continuance. The reason for the continuance is that parties are working on the terms of a Consent Agreement and wish to avoid the expense of preparing for the hearing. The reason for the additional time is due in large part Respondents participation in and completion of two pending FINRA arbitrations brought by certain investors. The parties in the FINRA arbitrations agreed to mediation and those were held on October, 25 and 26th 2016. As a result of those mediations, those FINRA matters are resolved or will be resolved in their entirety very shortly. However, they are not yet final and the outcome is material to the matters before the Division and Commission.

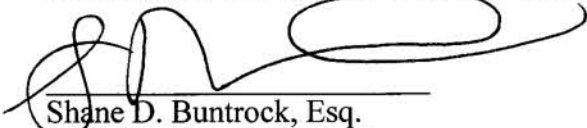
A continuance to a date after February 20, 2017 is requested. The date for witness and exhibit lists should also be continued to 30 days before the rescheduled hearing date. Counsel is available for a status hearing to reschedule the hearing if that is necessary. The continuance does

1 not prejudice any party or interested person to these proceedings and will promote "judicial
2 economy."

3 Therefore, for the reasons stated above, Respondent request the foregoing continuance.
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6 **RESPECTFULLY SUBMITTED** this 9th day of December, 2016.

7 **BUNTROCK & GARDNER LAW, PLLC**

8 
9 Shane D. Buntrock, Esq.
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11 Mesa, Arizona 85203
12 *Attorneys for Respondents*

13 **ORIGINAL and TEN COPIES** of the foregoing
14 **FILED** this 9th day of December, 2016 with:

15 Arizona Corporation Commission
16 Attn: Docket Control
17 1200 West Washington Street
18 Phoenix, AZ 85007

19 **COPY** of the foregoing **HAND-DELIVERED**
20 this same date to:

21 Mark Preny
22 Administrative Law Judge
23 Arizona Corporation Commission
24 1200 West Washington Street
25 Phoenix, AZ 85007

26 

Paralegal